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*Attorneys for Plaintiff Richard Goodman
and the Proposed Settlement Class*

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

RICHARD GOODMAN, *Individually
And As Trustee of the Richard M.
Goodman Revocable Living Trust, And
On Behalf Of All Others Similarly
Situated,*

Plaintiff,

vs.

UBS FINANCIAL SERVICES INC.,

Defendant.

Case No.: 2:21-cv-18123-KM-MAH

**PLAINTIFF'S NOTICE OF
MOTION AND MOTION FOR
FINAL APPROVAL OF CLASS
ACTION SETTLEMENT AND
PLAN OF ALLOCATION**

Hon. Michael A. Hammer

Class Action

Motion Day: December 7, 2023

PLEASE TAKE NOTICE that on December 7, 2023, at 11:00 a.m., plaintiff Richard Goodman (“Plaintiff”)¹ will, and hereby does, move to the Honorable Michael A. Hammer, Magistrate Judge for the United States District Court for the District of New Jersey, Martin Luther King Building & U.S. Courthouse, Courtroom MLK 2C, 50 Walnut Street, Newark, NJ 07101, for entry of an Order: (1) granting final approval of the Settlement in the above-captioned action on the terms set forth in the Stipulation; and (2) approving the proposed Plan of Allocation for distribution of the Net Settlement Fund.²

This motion is based on this Notice of Motion; the concurrently filed Memorandum of Law, the Declaration of Garth A. Spencer in Support of (I) Plaintiff’s Motion for Final Approval of Class Action Settlement and Plan of Allocation; and (II) Plaintiff’s Counsel’s Motion for an Award of Attorneys’ Fees and Reimbursement of Litigation Expenses, and all exhibits thereto; all pleadings and records on file in this Action; and other such matters as the Court may consider.

¹ All capitalized terms used herein that are not otherwise defined have the meanings ascribed to them in the Stipulation and Agreement of Settlement dated June 8, 2023 (the “Stipulation,” ECF No. 55-1).

² Proposed orders will be submitted with Plaintiff’s reply papers, after the deadlines for objections and seeking exclusion have passed.

DATED: November 2, 2023

GLANCY PRONGAY & MURRAY LLP

By: /s/ Lee Albert
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Goodman and the Proposed Settlement
Class*

CERTIFICATE OF SERVICE

I hereby certify that on November 2, 2023, I caused the foregoing to be filed electronically with the Clerk of the Court using the ECF system, which will send notification of such filing to all parties.

Respectfully submitted,

November 2, 2023
Lafayette Hill, Pennsylvania

/s/ Lee Albert
Lee Albert